A Data-Driven Approach to Extract Connectivity Structures from Diffusion Tensor Imaging Data

Yu Jin†, Joseph F. JaJa†, Rong Chen‡, Edward H. Herskovits†
†Institute for Advanced Computer Studies and Department of Electrical and Computer Engineering
University of Maryland, College Park, USA
Email: yuj@umd.edu, joseph@umiacs.umd.edu
‡Department of Radiology, University of Maryland, Baltimore, Baltimore, USA
Email: rchen@umm.edu, ehh@ieee.org

Abstract—Diffusion Tensor Imaging (DTI) is an effective tool for the analysis of structural brain connectivity in normal development and in a broad range of brain disorders. However, efforts to derive inherent characteristics of structural brain networks have been hampered by the very high dimensionality of the data, relatively small sample sizes, and the lack of widely acceptable connectivity-based regions of interests (ROIs). Typical approaches have focused either on regions defined by standard anatomical atlases that do not incorporate anatomical connectivity, or have been based on voxel-wise analysis, which results in loss of statistical power relative to structure-wise connectivity analysis. In this work, we propose a novel, computationally efficient iterative clustering method to generate connectivity-based whole-brain parcellations that converge to a stable parcellation in a few iterations. Our algorithm is based on a sparse representation of the whole brain connectivity matrix, which reduces the number of edges from around a half billion to a few million while incorporating the necessary spatial constraints. We show that the resulting regions in a sense capture the inherent connectivity information present in the data, and are stable with respect to initialization and the randomization scheme within the algorithm. These parcellations provide consistent structural regions across the subjects of population samples that are homogeneous with respect to anatomical connectivity. Our method also derives connectivity structures that can be used to distinguish between population samples with known different structural connectivity. In particular, new results in structural differences for different population samples such as Females vs Males, Normal Controls vs Schizophrenia, and different age groups in Normal Controls are also shown.

Keywords—data-driven whole-brain parcellation; structural connectivity; clustering; statistical analysis; parcellation stability and reproducibility.

I. INTRODUCTION

Diffusion Magnetic Resonance Imaging (MRI) technology non-invasively reveals white matter fiber structures and provides a model of the brain fiber tracts at a relatively high resolution. This opens up new research opportunities to generate, explore and analyze complex brain networks derived from Diffusion Tensor Imaging (DTI) based structural connectivity information [1], [2]. Researchers have successfully applied graph theoretical analysis on specialized structural networks to shed light on differences between different population groups and on brain disorders such as dementia [3] and schizophrenia [4]. Brain network analysis requires a reasonably accurate anatomical segmentation of the cerebral cortex, called parcellation, in which structurally homogeneous regions constitute the nodes of the network. Traditional anatomical brain regions may not incorporate connectivity information, and are typically identified by the distribution of cell types [5], myelinated fibers [6], or neurotransmitter receptors [7]. Common widely-used anatomical brain parcellations include Brodman’s areas [8], Automated Anatomical Labeling (AAL) [9], and Jülich histological parcellations [10]. However, there are no generally accepted anatomical parcellations and atlases of the whole brain that are based purely on the anatomic brain connectivity information revealed by diffusion MRI data. Most of existing DTI-based parcellation studies focus on particular parts of the cerebral cortex, such as the human inferior parietal cortex complex (IPCC) [11], the lateral parietal cortex [12], the temporoparietal junction area (TPJ) [13], the dorsal frontal cortex [14], the ventral frontal cortex [15], and Broca’s areas [16]. The parcellations generated specifically for these regions have a small number of subregions but achieve high consistency among subjects of a population sample. Connectivity-based parcellation of the whole brain is challenging due to a number of factors that include: (i) the very large size of the connectivity matrix produced by tractography of each subject’s DTI data; (ii) spatial constraints among the voxels of each region that must be respected in addition to the connectivity information; (iii) enforcing consistency for any structurally homogeneous population sample; and (iv) the lack of effective techniques to evaluate, and validate good parcellations.

In this paper, we propose a novel iterative method based on spectral clustering applied to a sparse representation of the connectivity information which also incorporates the necessary spatial constraints. Our goal is to generate reproducible whole-brain parcellations based purely on DTI data, which are stable and subject-reproducible, achieve highly structurally homogeneous regions, and are consistent among structurally similar population samples. Such parcellations can be used as the basis for conducting graph-theoretic analysis on the resulting anatomic connectivity networks.
Our method uses probabilistic tractography to generate the connectivity matrix that represents connectivity strength between any two gray voxels. A sparse representation of the connectivity matrix is defined by a graph whose edges capture spatial connectivity within a small spatial neighborhood and whose edge weights provide a similarity measure of the connectivity profiles of the endpoints. We show that our method is effective in generating parcellations that are highly consistent among subjects in the same population sample and that capture anatomic connectivity patterns that can be used to distinguish between population samples with known structural differences. Moreover, the methods are computationally efficient and robust to various random factors.

We note two particular works that are directly related to this paper. The first, reported by Craddock et al. [17], focuses on a data-driven approach for generating atlases based on resting-state functional MRI. The main goal there is to parcellate the whole brain into coherent regions of interests that are homogeneous in their resting-state functional connectivity (FC). They develop independently a graph formulation that is similar to ours, and apply spectral clustering in a straightforward way. The resulting atlas, while better than several of the standard anatomical atlases in term of FC homogeneity, has similar characteristics to a random atlas. Moreover, the input size is significantly smaller than the size of the problem we are dealing with here. The second work reported in [18] addresses the same problem tackled in this paper and uses hierarchical clustering to generate a hierarchy of whole brain parcellations. Hierarchical clustering techniques have serious limitations since they use a local greedy strategy, and each successive refinement cannot modify the clustering determined in previous steps. In addition, the evaluation methodology carried out there is limited to either known results for small regions such as the inferior parietal cortex convexity or to other well-known cytoarchitectonic parcellations that do not incorporate the connectivity information provided by DTI.

We summarize our main contributions in this paper as follows:
- We develop efficient, scalable algorithms based on a sparse representation of the whole brain connectivity matrix, which reduces the number of edges from around a half billion to a few million while incorporating the necessary spatial constraints.
- For an arbitrary subject from a population sample and for any value \( k \) of the number of regions, we show that our algorithm converges to a stable parcellation after a few iterations, defined by \( k \) structurally homogeneous regions.
- Our parcellations of subjects within a population sample are consistent using any of a number of similarity metrics between parcellations of different subjects.
- Our method captures structural patterns to allow us to distinguish effectively between structurally different population groups such as Males vs Females, Normal Controls vs Schizophrenia, and different age groups in Normal Controls.

The rest of the paper is organized as follows. We start in the next section by describing the data and tools used to generate the connectivity matrix of each subject. Our iterative method is described in Section III, while the stability and reproducibility results at the individual subject level or group level are covered in Section IV. Section V covers the discriminative power of the resulting parcellations. We end with a brief discussion in Section VI.

## II. Data and Preprocessing Steps

### A. Data Acquisition

Imaging was performed at the University of Maryland Center for Brain Imaging Research using a Siemens 3T TRIO MRI (Erlangen, Germany) system and 32 channel phase array head coil. The high-angular resolution diffusion imaging protocol was used to assess white matter integrity as measured by fractional anisotropy. Diffusion tensor data were collected following the same procedures as in [19]. For each subject, the image data consists of 70 volumes of 3D images of dimensions 128\( \times \)128\( \times \)53, each voxel representing 1.718mm\( \times \)1.718mm\( \times \)3mm brain volume. We collected data from 76 normal (NC) subjects and 48 schizophrenia (SZ) subjects. The subject demographics are shown in Table I.

### B. Nonlinear Registration

The diffusion images of all subjects are registered to Montreal Neurological Institute (MNI) standard space using nonlinear registration package FNIRT in FSL [20]. The nonlinear registration process generates the warping coefficients that balance the similarity between the diffusion image and the standard MNI152 image, and the smoothness of the warping coefficients. The registration process facilitates group atlas generation and comparison with other standard atlases.

### C. Probabilistic tractography

The preprocessing step of probabilistic tractography is used to model cross fiber distributions for each voxel through the BEDPOSTX package in FSL [21]. Probabilistic tractography is processed through the diffusion toolbox in FSL [22]. The standard white matter atlas is specified as a seed region. The AAL mask is specified as the target region, which is the whole brain cortex region. We generate 50 streamlines from every voxel in a seed region. These streamlines are propagated following the cross fiber distribution computed...
from the preprocessing step. Curvature threshold is enforced to eliminate unqualified streamlines. The distance correction option is set to correct for the fact that the distribution drops as travel distance increases. The tractography output is a structural connectivity network modeled as a weighted graph where each node is a voxel in the target region space and each edge weight corresponds to relative connectivity strength in terms of the number of streamlines connecting the corresponding pair of voxels.

III. OUR APPROACH

Our main method takes as input a subject’s connectivity matrix. The number of voxels in the AAL mask is 155,794 and the connectivity matrix is a sparse matrix of size 155,794 × 155,794. Given a positive integer value k, our problem is to parcellate the cerebral cortex into k spatially contiguous regions, such that each region possesses a high degree of structural homogeneity. Moreover, these parcellations must be stable and reproducible, as well as, consistent among members of a population sample with similar connectivity patterns. We first introduce our notion of a connectivity profile followed by a description of our method.

A. Connectivity Profile

For each voxel, the connectivity profile is the signature that discriminates a voxel from the rest of voxels based on connectivity. Parcellations are built by clustering voxels with similar connectivity profiles together. In principle, we can take the row of the connectivity matrix corresponding to a voxel as its connectivity profile, but that would be computationally expensive to process even if we compress each row into a list that contains only connectivity values above a certain threshold. In our approach, the connectivity profile of a voxel is computed as an array of weights, where each element represents the cumulative connectivity strengths of the voxel to a set of the regions determined initially by a predefined brain segmentation. Not only does the use of a coarser version of the connectivity profile leads to much more efficient computations, but it also helps to smooth out errors introduced by the tractography process through aggregation. More importantly, we will show later that our method converges to the same parcellation regardless of the initial segmentation used.

We explore several possibilities to initialize brain segmentations. An obvious choice is to use the regions of interests (ROIs) defined by any of the well-known anatomical atlases such as the 90 regions of the AAL-90 atlas. Note that the initial number of spatial regions is completely unrelated to the number k of parcellated regions and is used merely to initialize the connectivity profile of each voxel. Another possibility is to use a brain segmentation generated using a spatially constrained version of the k-means++ algorithm with randomized centers [23]. The third possibility that we consider is to spatially segment the volume into almost equal-size sub-cubes. The last two segmentation methods result in any specified number of contiguous regions. We will show that our method results in consistent and similar parcellations regardless of which connectivity profile we use.

B. Spatially Constrained Similarity Graph

A spatial-constraint similarity graph, considerably sparser than the weighted graph defined by the connectivity matrix, is formed using spatial adjacency and the connectivity profiles as follows. The voxels define the nodes of our graph. Two nodes are connected by an edge if and only if the corresponding voxels lie within a sphere of radius r. In our implementation, we have used r = 2 such that the number of neighbors of any node is at most 32 as shown in Fig. 1. Each edge is weighted by the similarity between the connectivity profiles of its end points. We can use any of several similarity metrics, including the correlation coefficient or the cosine function; our tests show that the results are very similar regardless of the similarity measure used. We assume from now on that we are using the correlation coefficient as our similarity measure between the connectivity profiles of two voxels.

C. Minimum Graph-Cut Problem and Iterative Refinement

Our parcellation algorithm starts by partitioning our spatial similarity graph into several subgraphs with the objective of minimizing the total weight of the edges connecting the subgraphs subject to a constraint on the relative sizes of the subgraphs. More specifically, our objective function is to
minimize the normalized cut rather than just the cut, which is standard in the literature (see for example [24], [25]). This will more or less ensure that we won’t have subgraphs with very few vertices. The subgraphs induce a spatial segmentation of the 3D image data, which is then used to redefine the connectivity profile of each voxel, after which we iterate until the generated parcellations are almost unchanged. Our algorithm results in a solution where the voxels within the same region have similar connectivity profiles and voxels across different regions are relatively dissimilar. The most efficient method to solve the graph cut problem during each iteration is spectral clustering [24], [25]. In particular, we use the normalized spectral clustering method, which can be summarized as follows, where \( W \in \mathbb{R}^{n \times n} \) is the weight matrix associated with the spatial similarity graph and \( k \) is the number of desired regions.

- Compute the normalized Laplacian matrix \( L = D - W \). \( D \) is the diagonal matrix with each element \( D_{i,i} = \sum_{j=1}^{n} W_{i,j} \).
- Compute the \( k \) eigenvectors of \( D^{-1/2}LD^{-1/2} \) corresponding to the smallest \( k \) eigenvalues.
- Apply the k-means clustering algorithm on the rows of the eigenvectors to obtain the final clusters.

To make the clustering result consistent against the random initializations in the k-means step, we run the k-means++ algorithm [23] several times and choose the result with the minimum within-cluster sum of point-to-centroid distances. Note that each run of the k-means++ involves 155,794 points (voxels) each of dimension \( k \). Algorithm 1 provides a high-level description of our method.

By applying the spectral clustering algorithm, we expect voxels within the same region to possess successively higher degrees of similarity in terms of structural connectivity during successive iterations. This iterative refinement approach converges to a stable parcellation as we will later show. At that point, we will also introduce a quantitative stopping criterion to be used to terminate the algorithm.

IV. Reproducibility and Stability Analysis

This section presents the methodology used and the results achieved to illustrate the reproducibility of our results both at the individual subject level and at the group level. We start by introducing two well-known methods to quantitatively measure the similarity between two arbitrary clustering solutions of a dataset.

A. Parcellation Similarity Metrics

We use the following metrics to measure the similarity between any two parcellations with the same level of granularity (that is, the same value of \( k \)). The cluster labels generated by our method are essentially arbitrary in the sense that regions with the same labels in two different parcellations are not necessarily spatially related. Moreover, as the level of granularity increases, we may not be able to determine a reasonable one-to-one mapping between the regions. In this paper, we will use the following two metrics.

1) Normalized Mutual Information (NMI): Mutual information has been used in information theory to measure the relationship between any two probability distributions [26]. Essentially, it provides a measure of how similar the joint distribution of two random variables is to the product of their marginal distributions. The normalized mutual information (NMI) is an approximate discrete version commonly used to measure the similarity between pairs of clusters of a dataset. The NMI between two parcellations \( A \) and \( B \) is defined as

\[
NMI(A, B) = \frac{MI(A, B)}{H(A) + H(B)} / 2
\]

The entropy for individual parcellations and the mutual information are approximated from the marginal and joint distributions. The marginal probability for any label is approximated as the fraction of the number of voxels with that label over the total number of voxels. Similarly, the joint distribution \( p(A_i, B_j) \) is computed as the fraction of the number of voxels with label \( i \) in parcellation \( A \) and with label \( j \) in parcellation \( B \) over the total number of voxels. Here the total number of voxels is the number of voxels in the AAL mask, which is the same for all parcellations.

2) Dice’s Coefficient: Dice’s coefficient measures the similarity directly from the clustering matrix \( C \in \mathbb{R}^{n \times n} \), defined by

\[
C_{i,j} = \begin{cases} 
1, & L_i = L_j \\
0, & L_i \neq L_j 
\end{cases}
\]

where \( L \) is the vector that contains the label of every voxel. That is, the \((i, j)\) entry of the clustering matrix is equal to 1 if, and only if, voxels \( i \) and \( j \) belong to the same region. Given the matrices corresponding to two parcellations, the Dice’s coefficient is computed as twice the number of common nonzero entries normalized by the total number of nonzero entries in both clustering matrices [27]. Dice’s coefficient is always between 0 and 1, and the larger it is, the more similar the two parcellations are.

Both NMI and Dice’s coefficient capture the similarity between two parcellations of any level of granularity. But for NMI, the joint distribution \( p(A_i, B_j) \) is in general greater than the product of the marginal distributions \( p(A_i) p(B_j) \), which may cause NMI to overestimate the similarity between the parcellations. We note that in general NMI is larger than the Dice’s coefficient.

B. Stability and Reproducibility of Subject Parcellations

The main factors that affect the parcellations generated by our algorithm are the choice of the brain segmentation that is used to define connectivity profiles and the random initialization of the k-means++ algorithm used in the last step of spectral clustering. The effect of random initialization could be mitigated by running the k-means++ initialization
the whole brain. The cube size determines the granularity of

tion consists of a set of almost equal-sized cubes that cover

generated.

of the k-means++ using 90, 1000, and 2000 regions were

are spatially contiguous and compact. Random initialization

k-means++ algorithm, based only on spatial coordinate. The

spatial segmentation with any level of granularity using the

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parcellated. Here we use it as the initial segmentation that

have used the AAL mask to define cerebral cortex to be

the courses of the main sulci of the brain. In fact, we

interest in each hemisphere, which were delineated following

atlases monotonically increase with the number of iteration.

After the

th iteration, most of NMI values are above

and most of Dice’s coefficients are above

found earlier, as stated before. Here we consider only

effect of the initial brain segmentation used to define

connectivity profiles. Note that the connectivity profiles

capsulate the only information we have from the DTI data to

for each subject since the rest of the information captured by

the spatial similarity graph does not involve anything related to

the connectivity data.

The initial brain segmentation can be defined as any

arbitrary spatial segmentation of the brain mask. However it

would be more intuitive to use initial segmentations with

comparable region sizes. Note that the number of regions in

the initial segmentation is completely independent of the

desired number \( k \) of parcellated regions. The main result of

this section is that, regardless of the initial segmentation

and for any value of \( k \), our algorithm will converge to a

stable parcellation for each subject that captures the critical

connectivity information embodied in the DTI data.

The following brain segmentations, shown in Fig. 2, are

used to define initial connectivity profiles that are used to

generate 40-region parcellations.

1) Automated Anatomical Labeling (AAL): The AAL

atlas defines 90 anatomical regions with 45 volumes of

interest in each hemisphere, which were delineated following

the courses of the main sulci of the brain. In fact, we

have used the AAL mask to define cerebral cortex to be

parcellated. Here we use it as the initial segmentation that

defines the connectivity profiles of all voxels.

2) Random Spatial Segmentation: We generate a random

spatial segmentation with any level of granularity using the

k-means++ algorithm, based only on spatial coordinate. The

purpose is to generate segmentations that have regions that

are spatially contiguous and compact. Random initialization

of the k-means++ using 90, 1000, and 2000 regions were

generated.

3) Regular Grid Segmentation: A regular grid segmenta-
tion consists of a set of almost equal-sized cubes that cover

the whole brain. The cube size determines the granularity of

the segmentation. We set the cube size to 5 and therefore, this

segmentation consists of 1,987 cubes that cover all brain

voxels.

4) Synthetic Parcellations: The synthetic parcellations

are generated from the similarity graph in which the weights

of all the edges are set to 1. A similar approach was reported

in [17], which concludes that the synthetic parcellations are

almost as good as real parcellations in terms of FC cluster

homogeneity. We use the synthetic parcellation with the

same number of regions to define the connectivity profile and

show that starting from the same synthetic parcellation, our

iterative method will incorporate the underlying connectivity

information and converge to the subject’s characteristic

parcellations.

For each subject, we show that our algorithm will yield

essentially the same parcellation for all these initial seg-

mentations. The NMI and Dice’s coefficient are computed

between all pairs of parcellations generated from different

brain segmentations after each iteration. Tables II and III

show the similarity results between selected pairs of par-

cellations from iteration 1 to 4. The complete results are

included in [28].

The above tables show that most of the similarity mea-
surements, in terms of NMI or Dice’s coefficients, between

selected pairs of parcellations from different brain segmentations

monotonically increase with the number of iteration. After the 4th

iteration, most of NMI values are above 0.90 and most of Dice’s coefficients are above 0.80, which

indicates very consistent parcellations. The iterative method

mitigates the random effect caused by the initial arbitrary segmentations and leads to stable parcellations regardless of the initial definition of connectivity profiles. Note that the k-means++ step of our algorithm introduces a small

<table>
<thead>
<tr>
<th>Abbreviations</th>
</tr>
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<tbody>
<tr>
<td>AAL: Automatic Anatomical Labeling.</td>
</tr>
<tr>
<td>R#: Random brain segmentation with # number of regions.</td>
</tr>
<tr>
<td>Grid: Regular grid segmentation with grid size 5 × 5 × 5.</td>
</tr>
<tr>
<td>S#: Synthetic parcellation generated from spatial-constrained similarity graph with all edges’ weights as 1.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Table II. NMI Between Selected Pairs of Parcellations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Iteration</td>
</tr>
<tr>
<td>AAL vs R90</td>
</tr>
<tr>
<td>R90 vs R1000</td>
</tr>
<tr>
<td>R1000 vs R2000</td>
</tr>
<tr>
<td>R2000 vs Grid</td>
</tr>
<tr>
<td>Grid vs S40</td>
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</tbody>
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<table>
<thead>
<tr>
<th>Table III. Dice’s Coefficient Between Selected Pairs of Parcellations</th>
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<tbody>
<tr>
<td>Iteration</td>
</tr>
<tr>
<td>AAL vs R90</td>
</tr>
<tr>
<td>R90 vs R1000</td>
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<tr>
<td>R1000 vs R2000</td>
</tr>
<tr>
<td>R2000 vs Grid</td>
</tr>
<tr>
<td>Grid vs S40</td>
</tr>
</tbody>
</table>
Table IV. Average Similarity Between Parcels of Different Subjects within the NC Group

<table>
<thead>
<tr>
<th>Number of regions</th>
<th>NMI</th>
<th>Dice's Coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td>40</td>
<td>0.7734</td>
<td>0.5503</td>
</tr>
<tr>
<td>50</td>
<td>0.7786</td>
<td>0.5525</td>
</tr>
<tr>
<td>60</td>
<td>0.7939</td>
<td>0.5507</td>
</tr>
<tr>
<td>70</td>
<td>0.7988</td>
<td>0.5415</td>
</tr>
<tr>
<td>90</td>
<td>0.8040</td>
<td>0.5326</td>
</tr>
<tr>
<td>120</td>
<td>0.8151</td>
<td>0.5287</td>
</tr>
</tbody>
</table>

Table V. Average Similarity Between Parcels of Subjects within the NC Group and Randomly Generated Parcellation

<table>
<thead>
<tr>
<th>Number of regions</th>
<th>NMI</th>
<th>Dice’s Coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td>40</td>
<td>0.6925</td>
<td>0.3994</td>
</tr>
<tr>
<td>50</td>
<td>0.6857</td>
<td>0.3679</td>
</tr>
<tr>
<td>60</td>
<td>0.7140</td>
<td>0.3871</td>
</tr>
<tr>
<td>70</td>
<td>0.7164</td>
<td>0.3771</td>
</tr>
<tr>
<td>90</td>
<td>0.7393</td>
<td>0.3995</td>
</tr>
<tr>
<td>120</td>
<td>0.7452</td>
<td>0.3720</td>
</tr>
</tbody>
</table>

Table VI. P-value and T-statistic for Gender Study within the NC Group

<table>
<thead>
<tr>
<th>Similarity comparison</th>
<th>p-value</th>
<th>t-statistic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male vs Male-female</td>
<td>9.0286e-5</td>
<td>-3.9205</td>
</tr>
<tr>
<td>Female vs Male-female</td>
<td>1.4025e-8</td>
<td>3.6911</td>
</tr>
<tr>
<td>Male vs Female</td>
<td>3.3752e-20</td>
<td>-9.2766</td>
</tr>
</tbody>
</table>

Table VII. P-value and T-statistic for Age Study within the NC Group

<table>
<thead>
<tr>
<th>Similarity comparison</th>
<th>p-value</th>
<th>t-statistic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group I vs Group I-II</td>
<td>0.5133</td>
<td>-0.6539</td>
</tr>
<tr>
<td>Group II vs Group I-II</td>
<td>0.5566</td>
<td>0.5880</td>
</tr>
<tr>
<td>Group I vs Group II</td>
<td>0.2009</td>
<td>-1.2798</td>
</tr>
<tr>
<td>Group II vs Group II-III</td>
<td>2.4175e-4</td>
<td>3.6800</td>
</tr>
<tr>
<td>Group III vs Group II-III</td>
<td>0.0028</td>
<td>-2.9921</td>
</tr>
<tr>
<td>Group II vs Group III</td>
<td>6.6455e-11</td>
<td>6.5814</td>
</tr>
</tbody>
</table>

Table VIII. P-value and T-statistic for Schizophrenic Study

<table>
<thead>
<tr>
<th>Similarity comparison</th>
<th>p-value</th>
<th>t-statistic</th>
</tr>
</thead>
<tbody>
<tr>
<td>NC vs NC-SZ</td>
<td>2.9995e-89</td>
<td>20.2514</td>
</tr>
<tr>
<td>SZ vs NC-SZ</td>
<td>1.4025e-8</td>
<td>-10.4867</td>
</tr>
<tr>
<td>NC vs SZ</td>
<td>1.1636e-198</td>
<td>30.9687</td>
</tr>
</tbody>
</table>

uncertainty, which explains the few deviations in the tables above. However, it is clear that the parcellations generated at the end of third and fourth iterations are very close to each other.

C. Group Consistency

Table IV shows the average similarity between every pair of parcellations from subjects in the NC group. As can be seen from entries in this table, the parcellations are reasonably consistent within the NC group; similar results hold for the SZ group.

Table V shows the average similarity between a random parcellation and the parcellations generated for the subjects in the NC group. As can be seen from the column of the Dice coefficients, our generated parcellations are significantly different from random parcellations. As mentioned before, the NMI coefficients tend to overestimate the similarity between the parcellations, and hence the slightly higher numbers in the second column of Table V, but still significantly lower than the similarity of the generated parcellations between the subjects of the NC group (Table IV).

V. Discriminative Analysis

In this section, we show how our parcellations can be used to shed light on structural differences between different experimental groups. We have selected cases that were known to have significant differences in white matter integrity and structural networks. We include a discussion of three significant different groups: Male vs Female, Age groups, and SZ vs NC. The subject demographics in our data are shown in Table I.

We adopt two strategies to discriminate among experimental groups. The first strategy focuses on the heterogeneity of the parcellations within a group sample and is based on the pair-wise similarities between all pairs of parcellations in a group. In particular, we will show that the parcellations of the subjects in the SZ group have substantially more variability that those of the NC group and that healthy males seem to exhibit more heterogeneity within their group than healthy females do.

The other strategy is to analyze the structural connectivity network built from the parcellations and tractography results, where the nodes correspond to the parcellation regions and the edge weights correspond to the cumulative connectivity strength between voxels in the two regions; this strategy is commonly used in the literature [29], [30]. Our iterative method generates parcellations where voxels within the same region share similar connectivity profiles that are defined as the accumulated connectivity strength to every other region. Hence the parcellations obtained are consistent with the structural connectivity network where the connectivity pattern of each node summarizes the connectivity profile of the voxels in that region. The “connectome” analysis shows more powerful discriminative ability of our parcellations than using existing anatomical atlases.

A. Similarity-based Analysis

The analysis is based solely on pair-wise similarity between pairs of parcellations. We start by analyzing the similarities relative to female and male subgroups of the NC sample using parcellations with 90 regions and NMI as the similarity measure. Results corresponding to other values of k or to the use of Dice’s coefficient as a similarity measure exhibit the same patterns.

A two-sample t-test was performed on the pair-wise similarity between parcellations within the female subgroup, the male subgroup, and pair-wise similarity between parcellations from different groups. The p-value and t-statistics are shown in Table VI.

Our results indicate that the similarity of parcellations of either healthy females or healthy males is significantly
different that the similarity between a female parcellation and a male parcellation. More importantly, the last row of Table VI indicates that the female parcellations are much closer to each other that the male parcellations, which may indicate more structural brain heterogeneity among the male subjects than among the female subjects.

In the age study, we divide the NC sample into three age groups, which are: Group I: Age 18-29, 23 subjects; Group II: Age 30-49, 28 subjects; Group III: Age 50-62, 25 subjects. The p-value and t-statistics are shown in table VII. For parcellations in Group I and II, their similarities did not show significant differences. But parcellation similarities within Group II have significant differences than the similarity between parcellations in Group II and Group III. And parcellations in Group III are more heterogeneous than those in Group I and Group II.

Perhaps more interesting is the similarity comparison of the parcellations of NC vs SZ groups, illustrated in VIII. These results clearly show that the parcellations within the SZ group show much more heterogeneity than those for the NC group.

B. Connectome Analysis

There is much evidence supporting that schizophrenia is a disorder related to brain connectivity. Our previous work analyzed the structural connectivity network based on individual parcellations refined from the AAL atlas to discriminate schizophrenic and normal control groups with high accuracy [31]. We apply the same strategy to discriminate among the two groups using the 5-region parcellations generated from our iterative approach. The reason we choose a small number of regions is the high consistency across subjects, and because regions can be trivially mapped spatially, one-to-one, between any pair of parcellations.

We first relabel all parcellations based on a randomly selected subject. The connectomes are built by defining nodes as regions in the parcellation and edge weights represent cumulative connectivity strength between regions.

A large portion of pair-wise connectivity shows significant differences between the two groups. Moreover, most pair-wise connectivity strengths of NC subjects are greater than those of SZ subjects, a fact that is consistent with the previous findings that SZ subjects have decreased inter-hemispheric and intra-hemispheric connectivity [19]. We select the three pairs with the most significant p-values and use their connectivity values as features to train a support vector machine classifier. We test our classifier using a 10-fold cross-validation and are able to achieve up to 75% accuracy, which is significantly better than our earlier result in [31].

We also carried out an additional test to confirm the discriminative capabilities of our parcellations. Consider 40-region parcellations for the two population samples and the corresponding structural connectivity networks. For each edge, we perform a two-sample t-test between the sequence of connectivity strengths of the NC group and that of the SZ group. We find that many of the edges result in p-values less than 0.00005 as shown in Fig. 3. Fig. 4 shows the corresponding results when we use the AAL atlas and determine connectivity strengths on the corresponding edges (pairs of regions). As shown by the binary maps, the proportion of entries in the AAL-based network which have significant connectivity strength difference between healthy controls and schizophrenic subjects is much smaller than that those obtained through the network built from our 40-region parcellations.

It seems clear that our parcellations seem to effectively capture the inherent connectivity information present in the DTI data and hence are more suitable for studying structural connectivity than anatomical atlases.

VI. CONCLUSION

We herein propose a sparse representation of the connectivity information derived from DTI data and a novel method that generates whole-brain parcellations for any number \( k \) of regions. Our method is computationally efficient and is able to consistently generate stable and reproducible parcellations that seem to capture inherent structural patterns present in the data. The results are validated through the use of a number of methods, including subject reproducibility, group consistency, and discriminative characteristics between different population groups.

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REFERENCES


