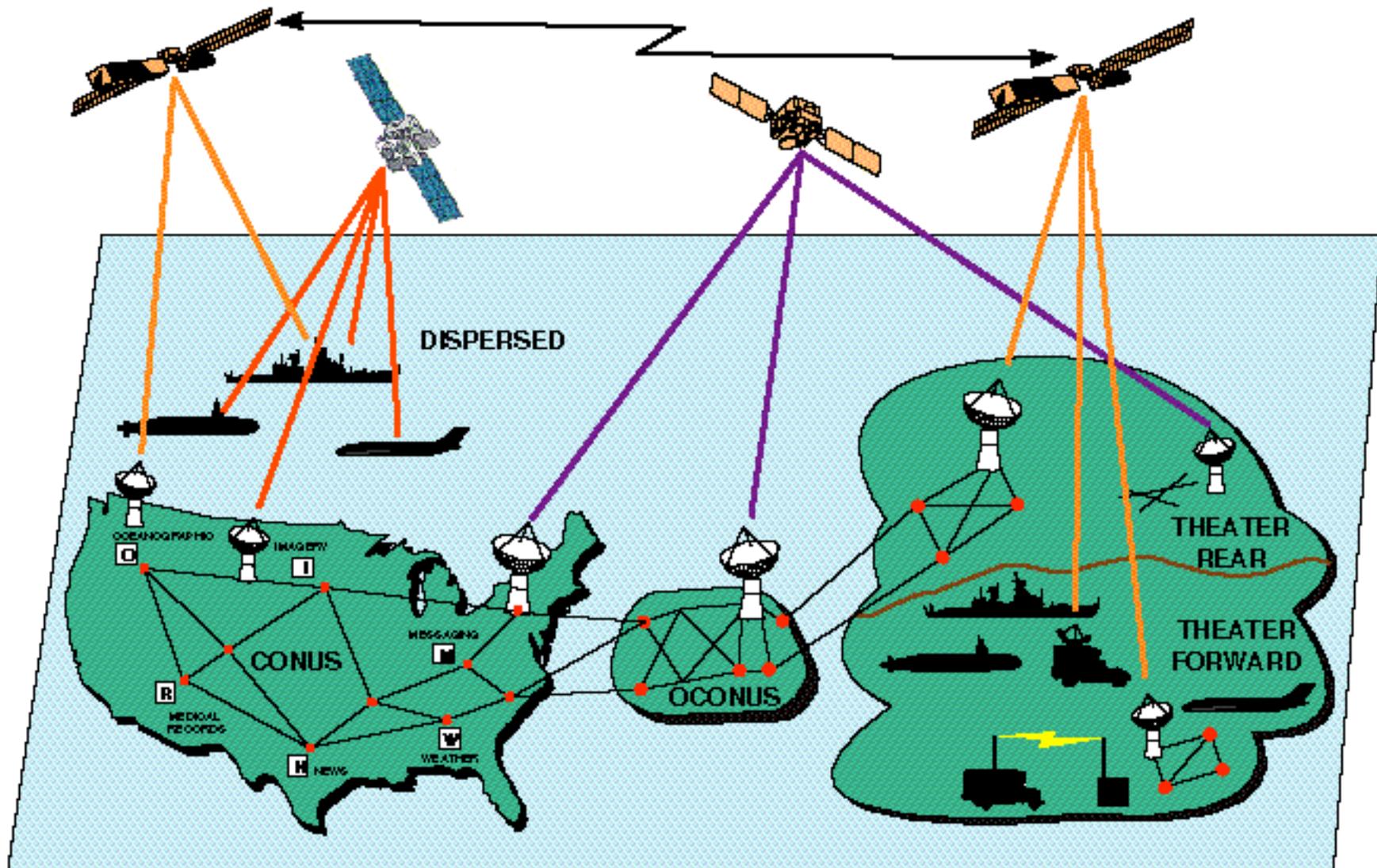


Toward the joint design of electronic and optical layer protection

Eytan Modiano
Massachusetts Institute of Technology

COMMUNICATIONS CONNECTIVITIES



= SATCOM/DISN TELEPORT

CHALLENGES:

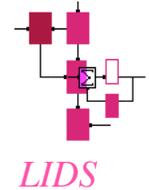
- SEAMLESS CONNECTIVITY
- MULTI-MEDIA (FIBER, SATCOM, WIRELESS)
- HETEROGENEOUS PROTOCOLS



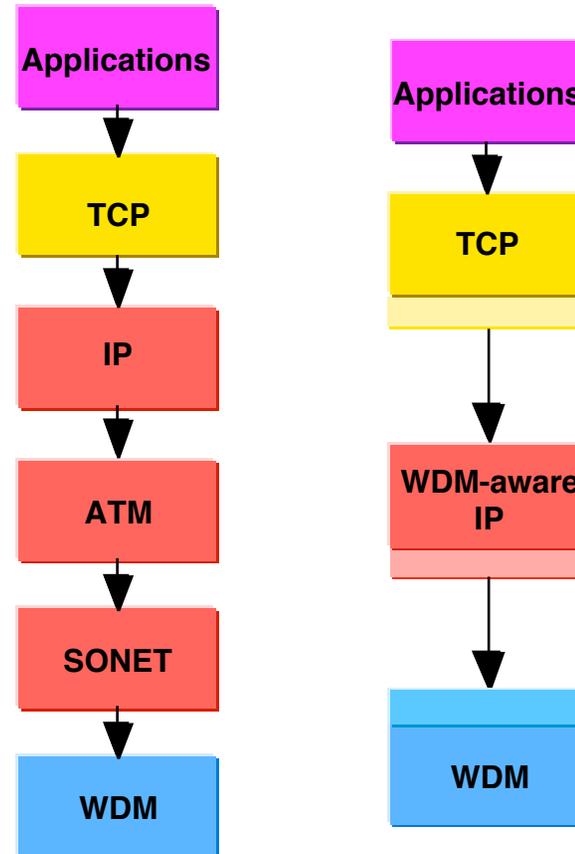
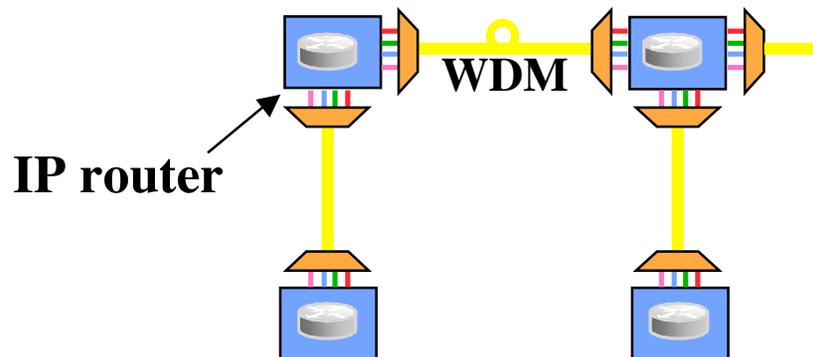
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IP-over-WDM

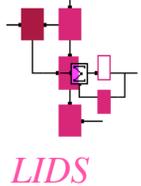


- **Networks use many layers**
 - Inefficient, expensive
- **Goal: reduced protocol stack**
 - Eliminate electronic layers
 - Preserve functionality
- **Joint design of electronic and optical layers**
 - Medium access protocol
 - Topology reconfiguration
 - Efficient multiplexing (grooming)
 - Joint electronic/optical protection





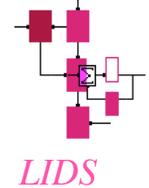
Outline



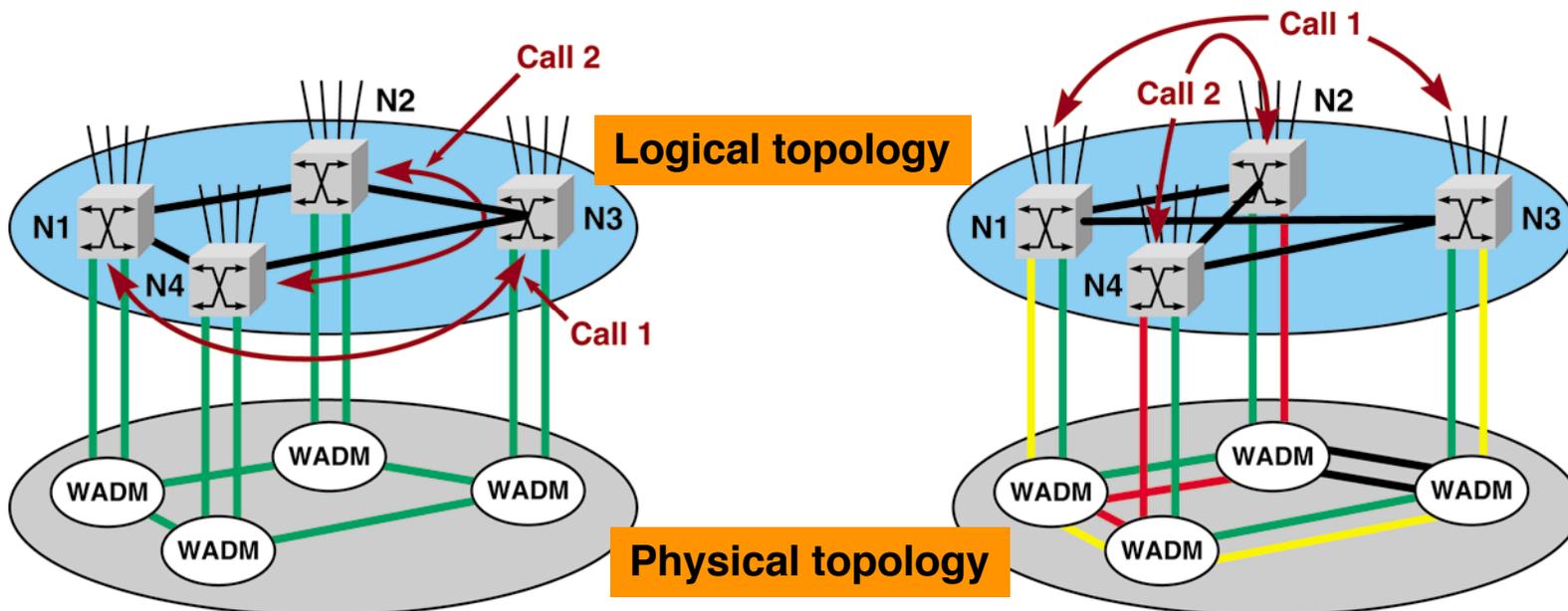
- ***Survivable routing of logical topologies:*** How to embed the logical topology on a physical topology so that the logical topology can withstand physical link failures
- ***Physical topology design:*** How to design the physical topology so that it can be used to embed rings in a survivable manner
- ***Path protection with failure localization:*** What are the benefits of failure localization for path protection



Physical Topology vs Logical Topology

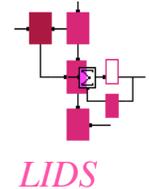


- **Physical Topology**
 - Optical layer topology
 - Optical nodes (switches) connected by fiber links
- **Logical Topology**
 - Electronic layer topology; e.g., routers connected by *lightpaths*
 - Lightpaths must be routed on the physical topology
 - Lightpaths are established by tuning transceivers and switches

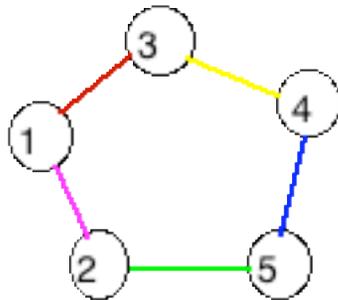




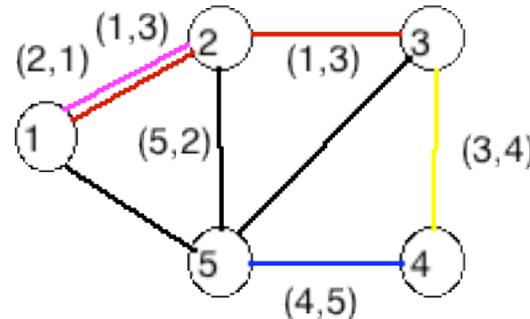
Routing the logical topology on a physical topology



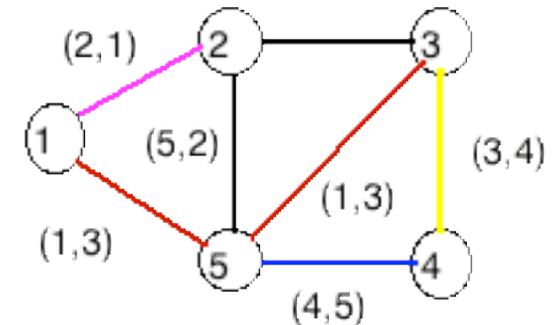
Logical topology



Physical topology



Bad

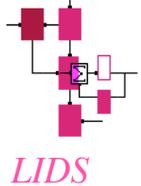


Good

- **How do we route the logical topology on the physical topology so that we can keep the logical topology protected ?**
 - Logical connections are lightpaths that can be routed in many ways on the physical topology
 - Some lightpaths may share a physical link in which case the failure of that physical link would cause the failure of multiple logical links
 - For rings (e.g., SONET) this would leave the network disconnected
 - Need to embed the logical topology onto the physical topology to maintain the protection capability of the logical topology



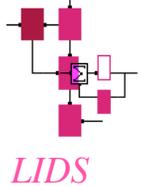
Application



- **Protection provided at the electronic layer**
 - E.g., SONET, ATM, IP
 - Physical layer protection is redundant
- **However, must make sure that the protection provided at the electronic layer is maintained in the event of a physical link cut**
- **Simple solution: Route all logical (electronic) links on disjoint physical routes**
 - E.g., physical and electronic topologies look the same
 - Approach may be wasteful of resources
 - Disjoint paths may not be available



Alternative approach

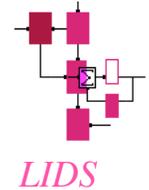


- **Route the lightpaths that constitute the electronic topology in such a way that the protection capability is maintained**
- **Examples:**
 - **Make sure logical topology remains connected in the event of a physical link failure**
 - **For SONET rings, make sure alternative route exists in the event of a physical link failure (same as topology remains connected)**
- **Our focus: Route the lightpaths of the logical topology so that it remains connected in the event of any single physical link failure**

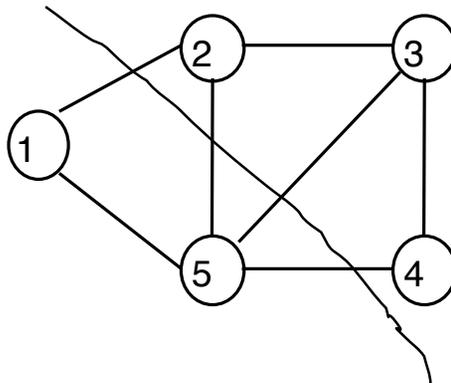
Eytan Modiano and Aradhana Narula-Tam, "[Survivable lightpath routing: A new approach to the design of WDM-based networks](#)," IEEE Journal of Selected Areas in Communication, May 2002.



Cut-set formulation



- Consider a graph (N, E)
 - A *cut* is a partition of the set of nodes N into subsets S and $N-S$
 - The *cut-set* $CS(S, N-S)$ is the set of edges in the graph that connect a node in N to a node in $N-S$
 - The size of the cut-set is the number of edges in the cut-set



$$S = \{1, 5\}, N-S = \{2, 3, 4\}$$

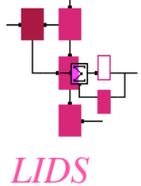
$$CS(S, N-S) = \{(1, 2), (5, 2), (5, 3), (5, 4)\}$$

Menger's Theorem: A logical topology is 2-connected if for every cut $(S, N-S)$

$$|CS(S, N-S)| \geq 2$$



Condition for survivable routing



Theorem 1: A routing is survivable *if and only if* for every cut-set $CS(S, N_L - S)$ of the logical topology the following holds:

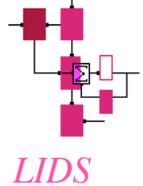
Let $E(s,t)$ be the set of physical links used by logical link (s,t) . Then, for every cut-set $CS(S, N_L - S)$,

$$\bigcap_{(s,t) \in CS(S, N_L - S)} E(s,t) = \emptyset$$

- The above condition requires that no single physical link is shared by all logical links belonging to a cut-set of the logical topology
 - not all of the logical links belonging to a cut-set can be routed on the same physical link
- This condition must hold for all cut-sets of the logical topology



ILP formulation of survivable routing problem



Minimize $\sum_{\substack{(i,j) \in E \\ (s,t) \in E_L}} f_{ij}^{st}$ **Subject to:**

A) Connectivity constraints:
$$\sum_{j \text{ s.t. } (i,j) \in E} f_{ij}^{st} - \sum_{j \text{ s.t. } (j,i) \in E} f_{ji}^{st} = \begin{cases} 1 & \text{if } s = i \\ -1 & \text{if } t = i \\ 0 & \text{otherwise} \end{cases}$$

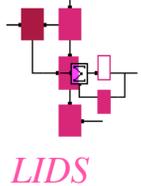
B) Survivability constraints:
$$\forall (i,j) \in E, \forall S \subset N_L, \sum_{(s,t) \in CS(S, N_L - S)} f_{ij}^{st} + f_{ji}^{st} < |CS(S, N_L - S)|$$

C) Capacity constraints:
$$\forall (i,j) \in E, \sum_{(s,t) \in E_L} f_{ij}^{st} \leq W$$

D) Integer flow constraints:
$$f_{ij}^{st} \in \{0,1\}$$



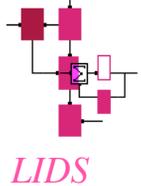
Solving the ILP



- **Difficult for large networks due to the large number of constraints**
 - Exponential number of cut-set constraints
- **Solution for ILP can be found using branch and bound and other heuristic techniques**
- **Alternatively relaxations of the ILP can be found that remove some of the constraints**
 - LP relaxation removes the integer constraints, but unfortunately solution becomes non-integer => can't determine the routings
 - Can relax some of the less critical survivability constraints
 - Start with only a subset of the cut-set constraints, if survivable solution is found then done; otherwise add more cut-set constraints until survivable solution is found



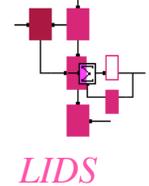
ILP relaxations



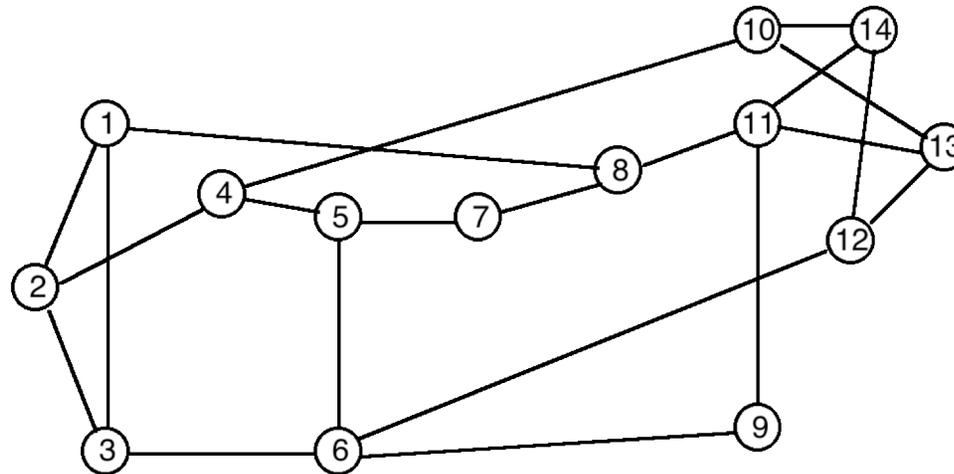
- **Single node cuts relaxation: Consider only those cuts that separate a single node from the rest of the network**
 - Only N such cut-sets
 - Single node cuts are often the smallest and hence the most vulnerable
 - When network is densely connected most cuts contain many links and are not as vulnerable
- **Small cut-sets relaxation: Consider only those cut-sets whose size is less than a certain size (e.g., the degree of the network, degree + 1, etc.)**
 - This relaxation includes all the single node cuts, but some other small cuts as well
 - Appropriate for less densely connected networks



NSF Network experiments

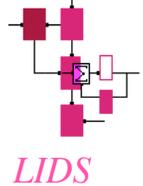


- **Logical topologies**
 - Randomly generated logical topologies of degrees 3, 4, 5
 - 100 randomly generated topologies of each size
- **Physical topology**
 - NSF NET (14 nodes, 21 links)





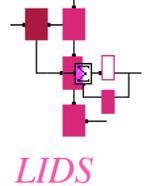
Results: Degree 3 logical topologies



	Logical Top's	Unprotected solution	Ave. links	Ave. λ *links
ILP	100	0	19.76	46.07
Short Path	100	86	19.31	45.25
Relax - 1	100	10	19.78	46.03
Relax - 2	100	0	19.78	46.07



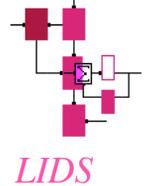
Results: Degree 4 logical topologies



	Logical Top's	Unprotected solution	Ave. links	Ave. λ *links
ILP	100	0	20.30	60.64
Short Path	100	38	20.17	60.47
Relax - 1	100	0	20.30	60.64
Relax - 2	100	0	20.30	60.64



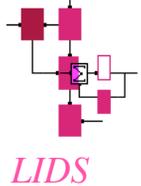
Results: Degree 5 logical topologies



	Logical Top's	Unprotected solution	Ave. links	Ave. λ *links
ILP	100	0	20.56	75.40
Short Path	100	27	20.48	75.31
Relax - 1	100	0	20.56	75.40
Relax - 2	100	0	20.56	75.40



Run times of algorithms

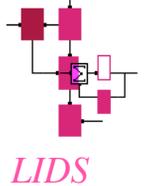


	ILP	Relaxation - 1	Relaxation - 2
Degree - 3	8.3 s	1.3 s	1.3 s
Degree - 4	2 min. 53 sec.	1.5 s	1.5 s
Degree - 5	19 min. 17 sec.	2.0 s	2.0 s

Sun Sparc Ultra 10 computer



Ring Logical topologies

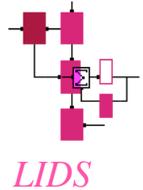


- **Widely used topology (e.g., SONET rings)**
- **Ring topology yields simple solutions**
 - It can be easily shown that every cut of a bi-directional ring contains exactly two links
 - It can also be shown that every pair of links shares a cut
- **Corollary: A bi-directional logical ring is survivable if and only if no two logical links share the same physical link**
 - The proof is a direct result of Theorem 1
 - Cut-set constraints can be replaced by a simple capacity constraint on the links

$$\forall (i, j) \in L, \quad \sum_{(s,t) \in E_L} f_{ij}^{st} + \sum_{(s,t) \in E_L} f_{ji}^{st} \leq 1$$

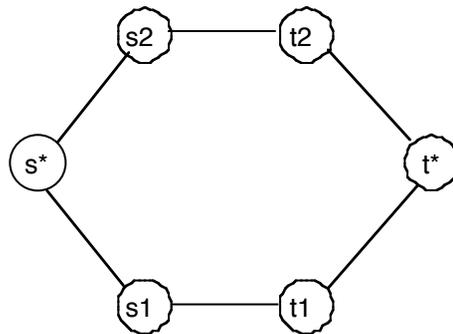


NP-completeness

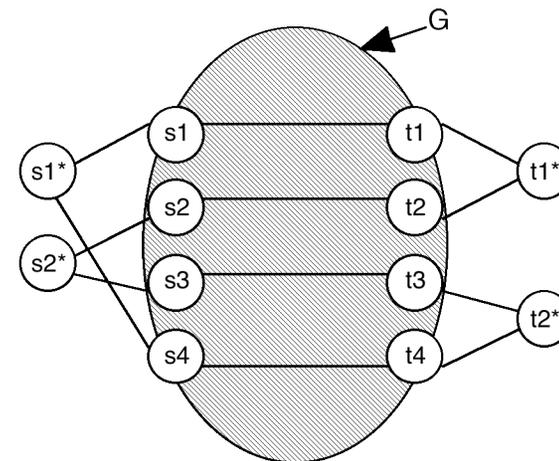


Theorem 2: The survivable routing problem is NP-complete

Proof: Mapping of ring survivable routing to k edge disjoint paths in undirected graphs



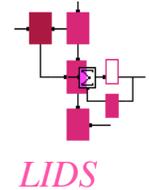
Two-edge disjoint paths



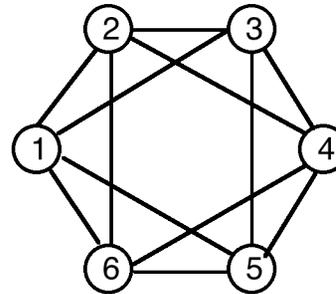
Four-edge disjoint paths



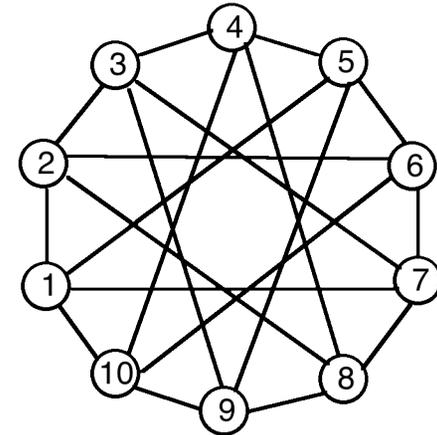
Ring experiments



- **Physical topologies:**



6 nodes



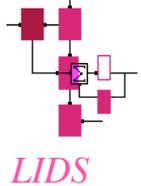
10 nodes

- **Logical topologies:**

- All possible 6 node logical rings (120 possible) on 6 node physical
- All possible 6,7,8,9, and 10 node rings on 10 node physical



Routing Algorithms

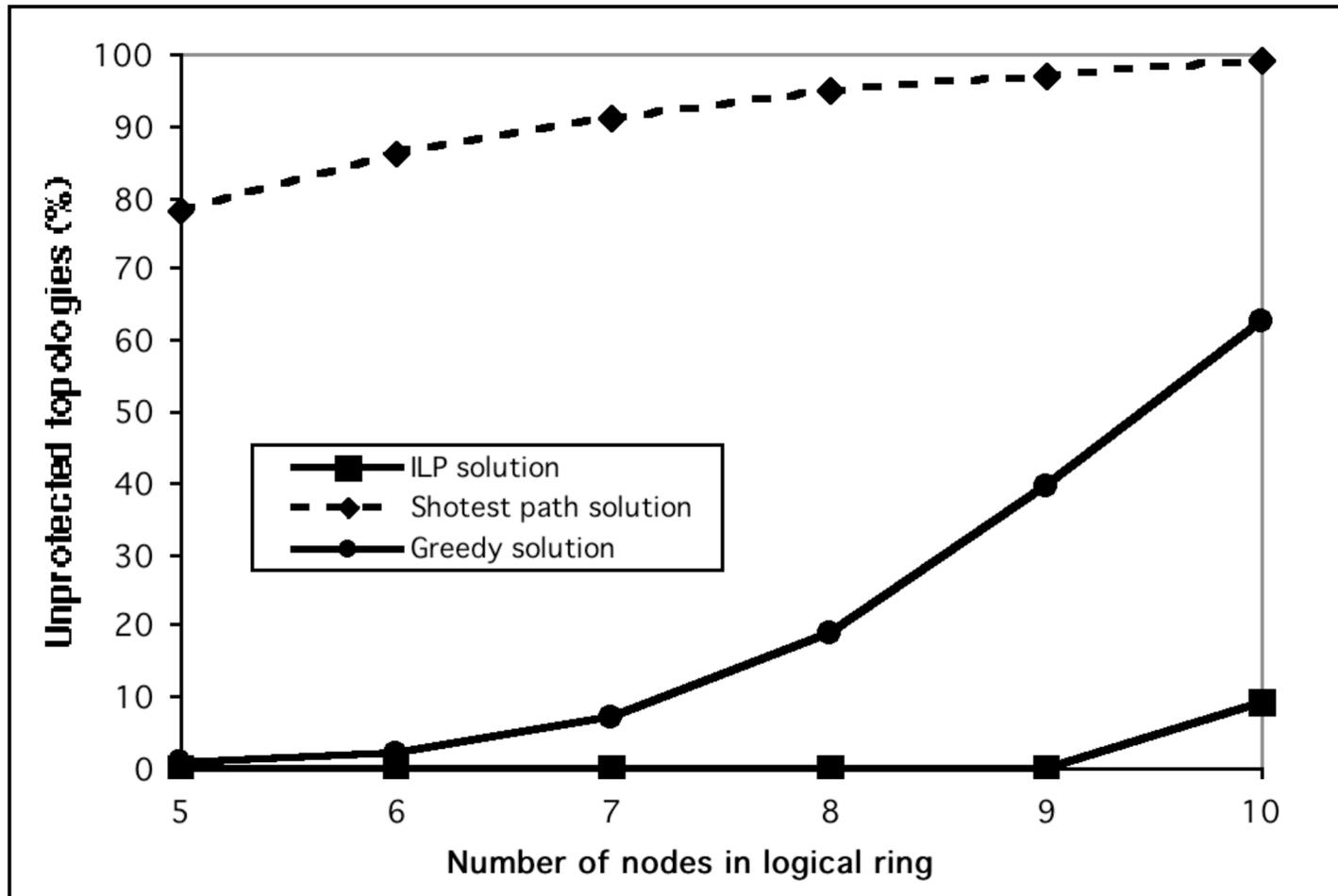
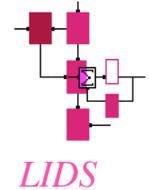


- **Survivable routing - ILP solution**
 - Guarantees survivable routing whenever one exists
- **Shortest path routing**
 - Find the shortest path for every lightpath regardless of survivability
- **Greedy routing**
 - Route lightpaths sequentially using shortest path
 - Whenever a physical link is used by a lightpath, it is removed so that it cannot be used by any other lightpath

Takes advantage of the fact that for ring logical topologies no two lightpaths can share a physical link

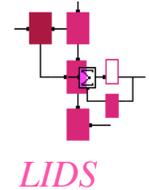


Ring results





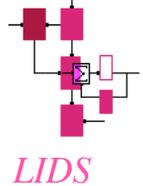
Ring results, cont.



	Logical Top's	No protected solution	Ave. links	Ave. λ *links
6 node-ILP	120	0	7.4	7.4
6 node - SP	120	64 (53%)	6.4	7.2
6 node - GR	120	0	8.1	8.1
10 node-ILP	362880	33760 (9%)	17.8	17.8
10 node - SP	362880	358952 (99%)	11.8	15.5
10 node - GR	362880	221312 (61%)	18.4	N/A



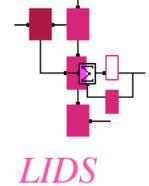
Outline



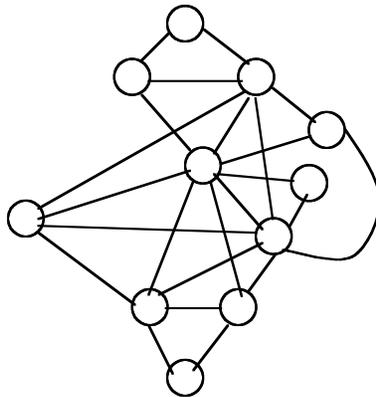
- **Survivable routing of logical topologies**
- ***Physical topology design***
- **Path Protection with failure localization**



Physical Topology Design: Embedding Survivable Rings



- **N node Network:** Embed all permutations of rings of size $K \leq N$
 - There are $\binom{N}{K}(K-1)!$ rings of size K
- **Typical physical topologies are not conducive to embedding rings in survivable manner**



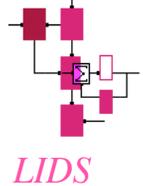
- **11 Node NJ LATA**
- **Supports only 56% of all 9 node rings**

- **Goal: Design physical topologies that can support survivable logical rings**
 - Use minimum number of physical links

A. Narula-Tam, E. Modiano, A. Brzezinski, "[Physical Topology Design for Survivable Routing of Logical Rings in WDM-Based Networks](#)," IEEE JSAC, October, 2004.



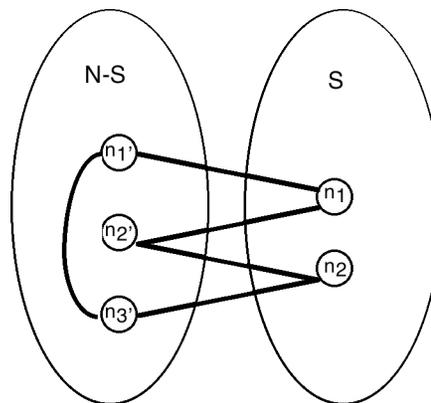
Necessary conditions for physical topology



- Under what condition can one embed any ring logical topology on a given physical topology
 - Want to design a physical topology that can support all possible ring logical topologies
 - Service provider that receive requests for ring topologies and wants to make sure that he can support all requests in a survivable manner

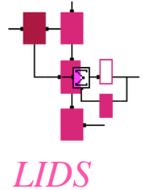
Theorem 3: In order for a physical topology to support any possible ring logical topology, any cut of the physical topology (S, N-S),

$$|CS_p(S, N - S)| \geq 2 \min(|S|, |N - S|)$$





Necessary conditions for physical topology



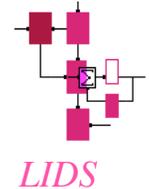
- **Theorem 3** provides insights on physical topology design
 - E.g., all neighbors of degree 2 nodes must have degree ≥ 4
- **Theorem 4:** The number of links that an N node physical topology must have in order to guarantee survivable routing of K node logical rings is given by:

<i>Logical Ring Size</i>	<i>Physical link requirement</i>
$K = 4$	$4N/3$
$K = 6$	$3N/2$
$K = 8$	$1.6N$
$K = N - 1$	$2N - 3$

- **Proof:** by repeated application of Theorem 3

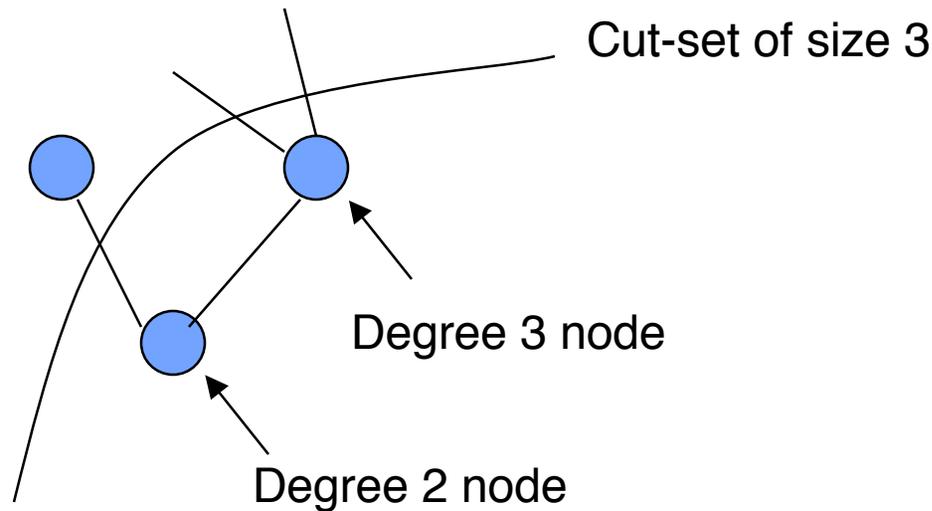


Proof: $K=4$ case



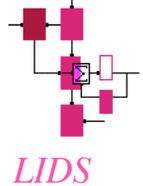
Lemma: Any node of degree 2 must have physical links to nodes of degree 4 or higher.

Proof: Suppose a node of degree 2 has a physical link to a node of degree 3, then the cut-set consisting of the degree 2 node and its degree 3 neighbor contains only 3 links. However, since the cut-set contains two nodes, *Theorem 3* requires a minimum of 4 cut-set links.





Proof of Theorem 4 (K = 4 case)



Let d_i be the number of nodes with degree i in the physical topology. Then the number of links in the physical topology is

$$L = \sum_{i=2}^{N-1} \frac{id_i}{2} = d_2 + \frac{3d_3}{2} + \sum_{i=4}^{N-1} \frac{id_i}{2}$$

From lemma 1: $d_2 \leq \sum_{i=4}^{N-1} \frac{i}{2} d_i \longrightarrow L \geq 2d_2 + \frac{3}{2}d_3$ (1)

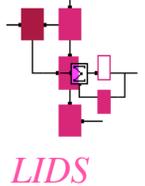
Also, since nodes of degree i , add a minimum of $i/2$ physical links we get:

$$L \geq \frac{2d_2 + 3d_3 + 4(N - d_2 - d_3)}{2} = 2N - d_2 - \frac{d_3}{2} \quad (2)$$

$$(1) \ \& \ (2) \Rightarrow \quad L \geq \max\left(2d_2 + \frac{3}{2}d_3, 2N - d_2 - \frac{d_3}{2}\right)$$



Proof, cont.



$$L \geq \max\left(2d_2 + \frac{3}{2}d_3, 2N - d_2 - \frac{d_3}{2}\right)$$

Minimum occurs when $2d_2 + \frac{3}{2}d_3 = 2N - d_2 - \frac{d_3}{2}$

$$\longrightarrow d_2 = \frac{2N - 2d_3}{3}$$

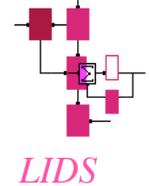
$$\longrightarrow L \geq \frac{4N}{3} + \frac{d_3}{6} \geq \frac{4N}{3}$$

Similar arguments for proving the K=6 and K=8 cases

K= N-2 case: Show that we can find an N-2 node logical topology that requires at least 2(N-2) links



Integer Linear Program (ILP) Problem Formulation



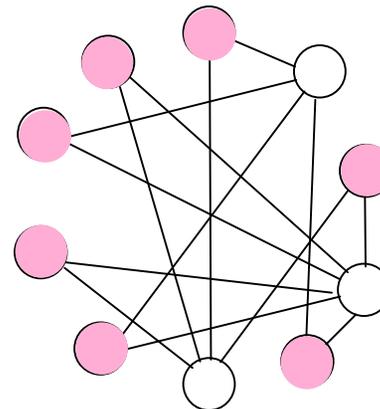
- Embed batch of R random rings of size K
- Start with a fully connected physical topology with cost of each physical link = 1
 - Minimize number of physical links used to embed all R rings
- ILP results
 - Solvable for small instances
 - Yields insights on properties of appropriate physical topologies

E.g., solutions tend to have a “multi-hub” architecture

$N=10$

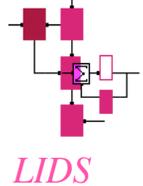
$R=20$

$K=6$

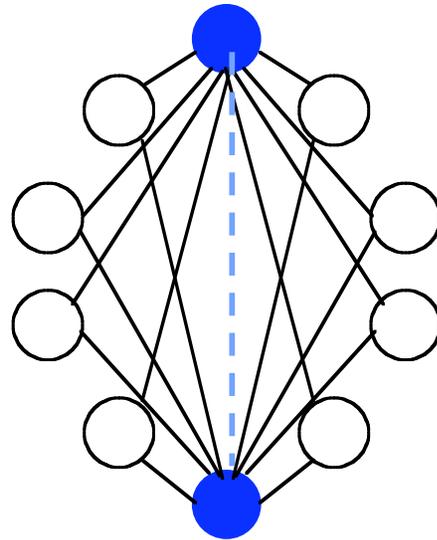




Physical Topologies for Embedding Logical Rings



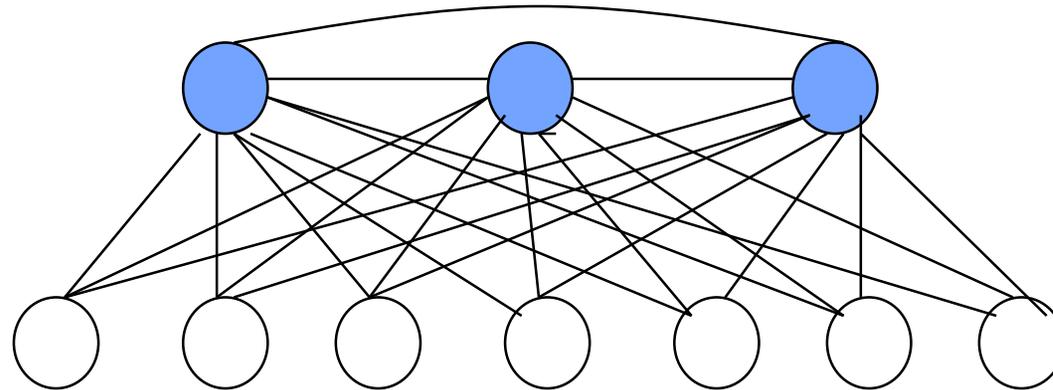
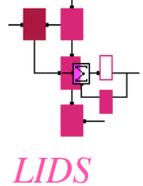
- Dual hub architecture



- N nodes, $2(N-2)$ bi-directional links
- Supports all logical rings of size $\leq N-2$
- Uses minimal number of physical links
- With additional link can support all logical rings of size $\leq N-1$



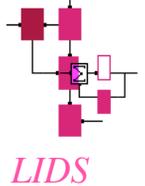
Physical Topology for Embedding Rings of Size N



- Embedding rings of size N is considerably more difficult
- Three hub architecture
- Requires $3N-6$ physical links
- Recall, rings of size $N-1$ required $2N-3$ physical links
- Can we do better?



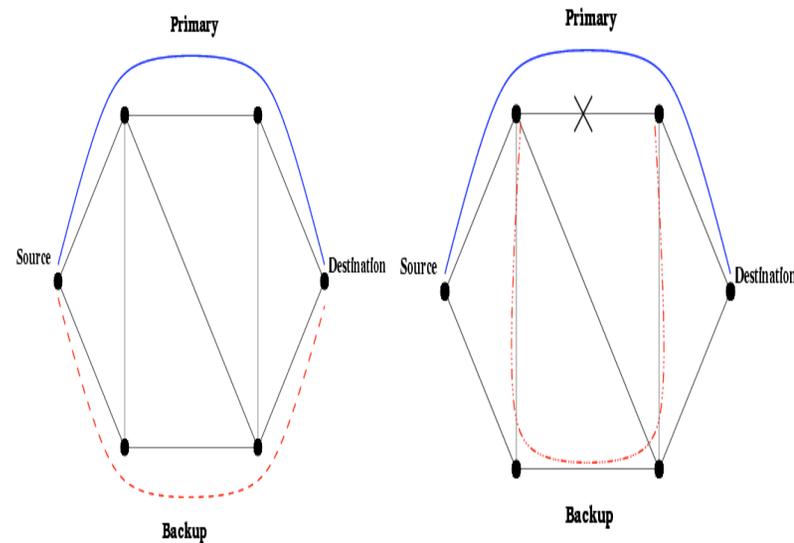
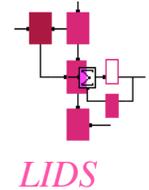
Outline



- **Survivable routing of logical topologies**
- **Physical topology design**
- ***Path Protection with failure localization***



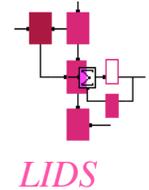
Path Protection and Link Protection



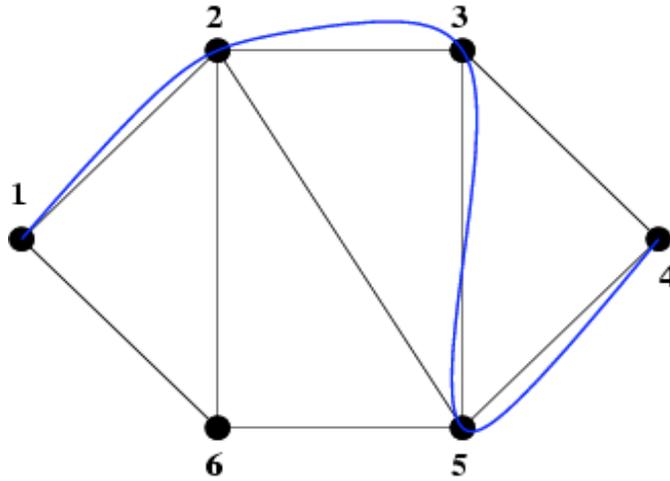
Protection Schemes	PP	LP
Major Feature	Link-Disjoint	Localization
Resource Efficiency	High	Low



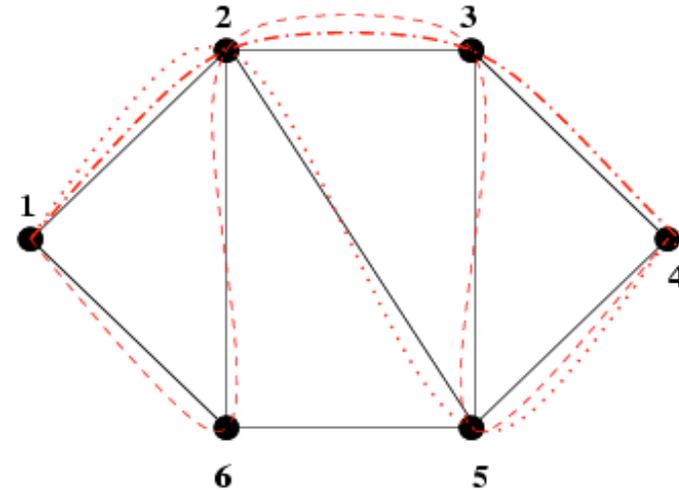
Path Protection with Failure Localization (PPFL)



- System specifies an end-to-end backup path to each link along the primary path



Primary Path

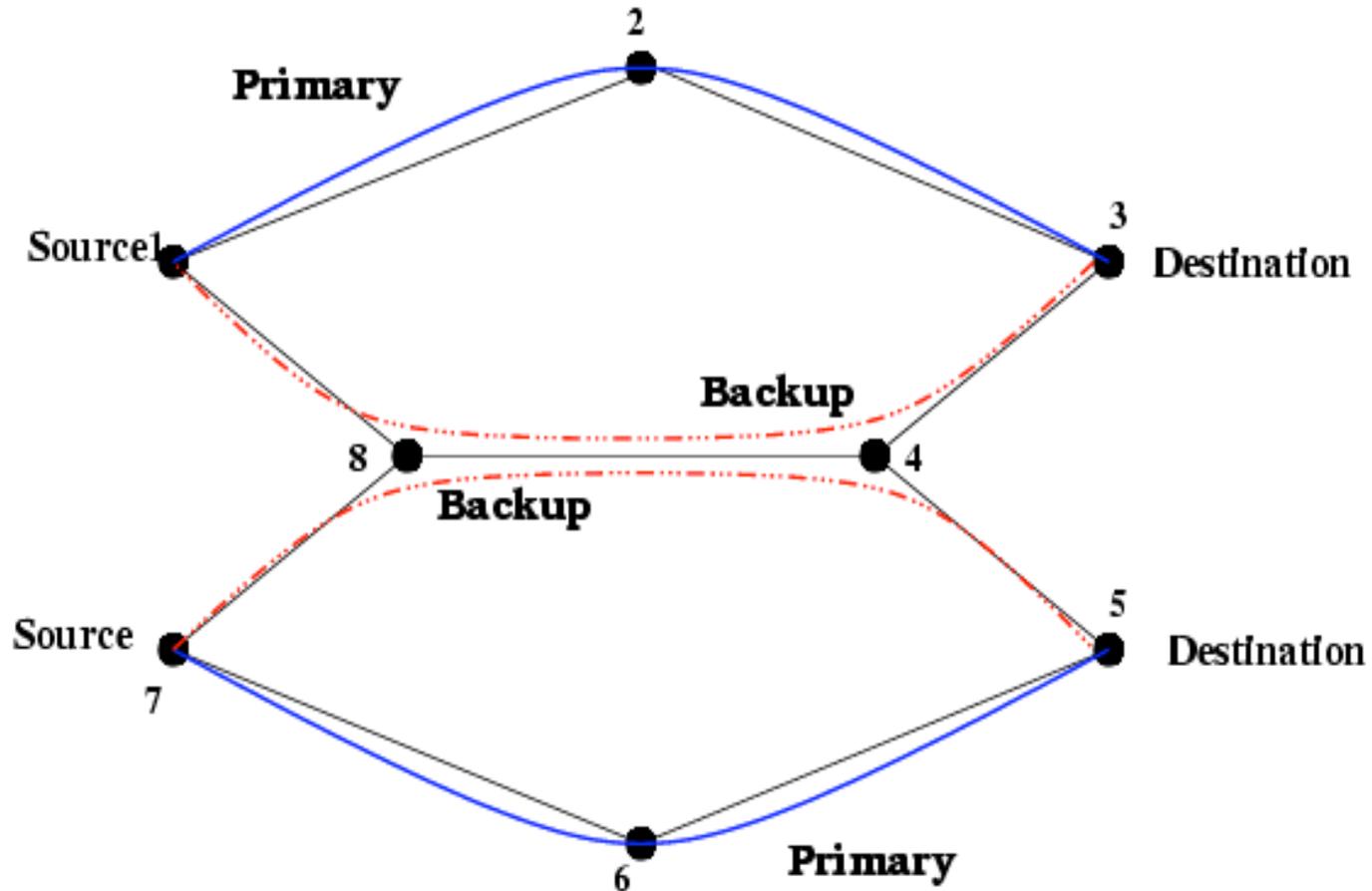
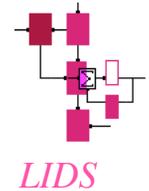


Backup Paths

Link on Primary Path (1-2-3-5-4)	Corresponding Protection Path
(1,2)	1-6-2-3-5-4
(2,3)	1-2-5-4
(3,5)	1-2-5-4
(5,4)	1-2-3-4



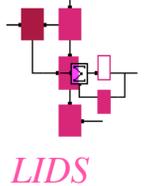
Protection Sharing



PPFL offers greater opportunity for resource sharing



Traffic Model

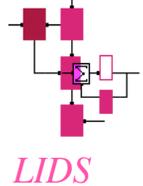


- **Batch call arrival**
 - Typical of a static routing and wavelength assignment problem
 - Usually done for the purpose of logical topology design
 - Requires solving for primary and backup paths for all sessions simultaneously
- **Dynamic (random) call arrivals**
 - **Call-by-call model**
 - Poisson call arrivals
 - Exponential holding times
 - Resources are allocated on a call by call basis, depending on network state information

Our focus: Dynamic call-by-call model



Implementation: Greedy and Heuristic Approach

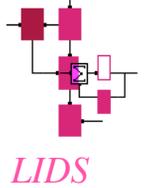


- **Greedy approach: Solving MILP problems**
 - Guarantee minimum resource used by each call
 - Computationally complex
- **Heuristic approach: Seeking the shortest paths**
 - Not guaranteed to use the minimum resources to serve a call
 - Computationally simple (e.g. Dijkstra's algorithm)

Question: Does system achieve optimal resource utilization if each call is served using the minimum resources?



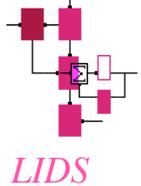
MILP Formulation for PPFL



$$\begin{aligned} \text{Minimize} \quad & \sum_{(i,j) \in L} c_{ij} x_{ij} + \sum_{(i,j) \in L} y_{ij} \\ \text{Subject to} \quad & \sum_{(S,j) \in L} x_{Sj} - \sum_{(j,S) \in L} x_{jS} = \sum_{(j,D) \in L} x_{jD} - \sum_{(D,j) \in L} x_{Dj} = 1, \\ & \sum_{(i,j) \in L} x_{ij} - \sum_{(j,i) \in L} x_{ji} = 0, \quad \forall i \neq S, D, \\ & \sum_{(S,l) \in L} v_{ij}^{Sl} - \sum_{(l,S) \in L} v_{ij}^{lS} \geq x_{ij}, \quad \forall (S,l), (l,S), (i,j) \in L, \\ & \sum_{(l,D) \in L} v_{ij}^{lD} - \sum_{(D,l) \in L} v_{ij}^{Dl} \geq x_{ij}, \quad \forall (D,l), (l,D), (i,j) \in L, \\ & \sum_{(l,k) \in L} v_{ij}^{lk} - \sum_{(k,l) \in L} v_{ij}^{kl} = 0, \quad \forall (i,j) \in L, \forall k \neq S, k \neq D, \\ & v_{ij}^{ij} + v_{ji}^{ij} = 0, \quad \forall (i,j) \in L, \\ & y_{lk} \geq d_{ij}^{lk} (v_{ij}^{lk} - x_{lk}), \quad \forall (i,j), (l,k) \in L, \\ & x_{ij} \geq v_{ij}^{lk}, \quad \forall (i,j), (l,k) \in L, \\ & x_{ij}, y_{ij}, v_{ij}^{lk} \in \{0, 1\}, \quad \forall (i,j), (l,k) \in L. \end{aligned}$$



Example: Greedy vs. Shortest Path heuristic

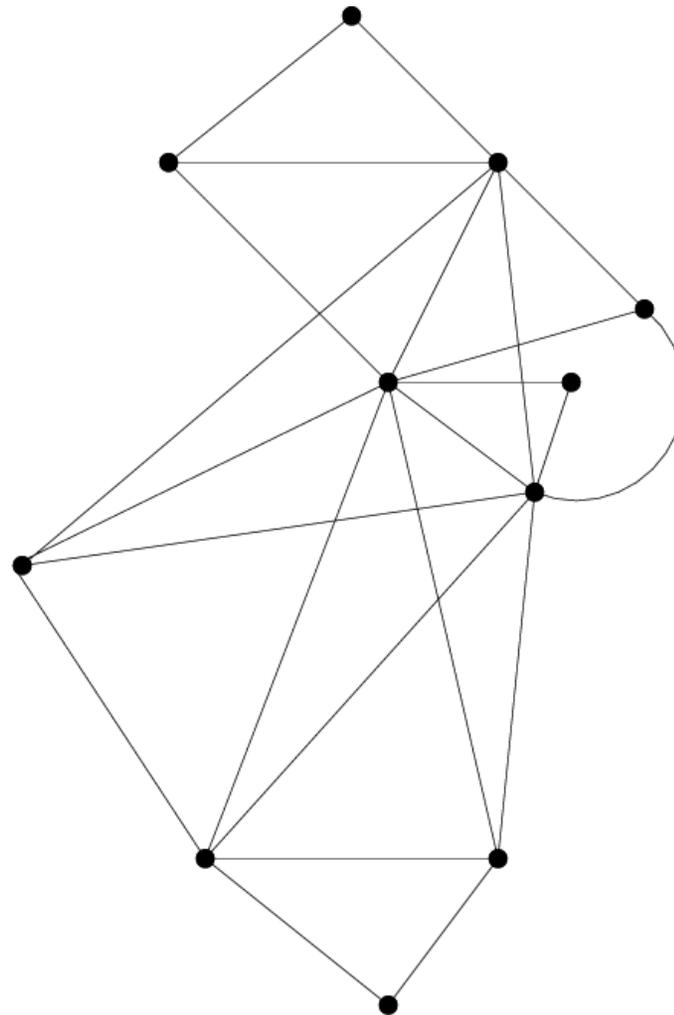
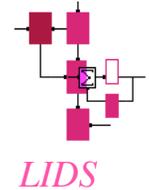


	SD Pair	Primary Path	Protection Path (protected link)	Total Number of Occupied Wavelengths
Greedy Approach	(1,4)	1-2-3-4	1-6-5-4 (1-2-3-4)	6 (no sharing)
	(6,3)	6-5-3	6-2-3 (6-5-3)	10 (no sharing)
	(3,5)	3-5	3-2-5 (3-5)	13 (no sharing)
Heuristic Approach	(1,4)	1-2-3-4	1-6-2-3-4 (1-2) 1-2-5-4 (2-3) 1-2-5-4 (3-4)	7 (share (2-3-4))
	(6,3)	6-5-3	6-2-3 (6-5) 6-2-3 (5-3)	10 (share (6,2))
	(3,5)	3-5	3-2-5 (3-5)	12 (share (2,5))

Shortest path heuristic may provide greater opportunity for future sharing of backup paths



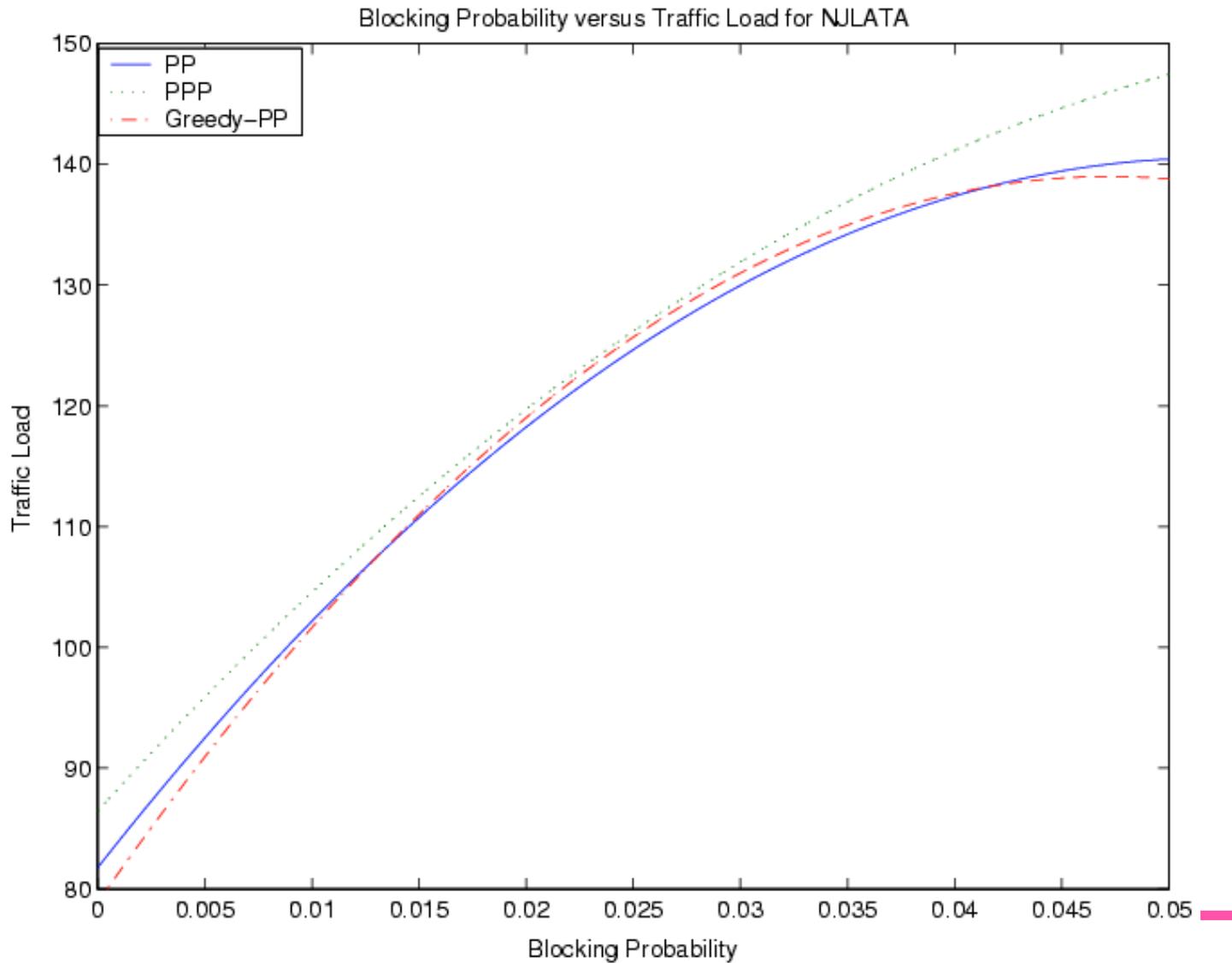
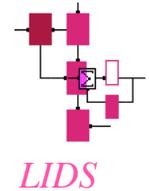
Simulation: The 11 node, 21 link New Jersey Lata Network





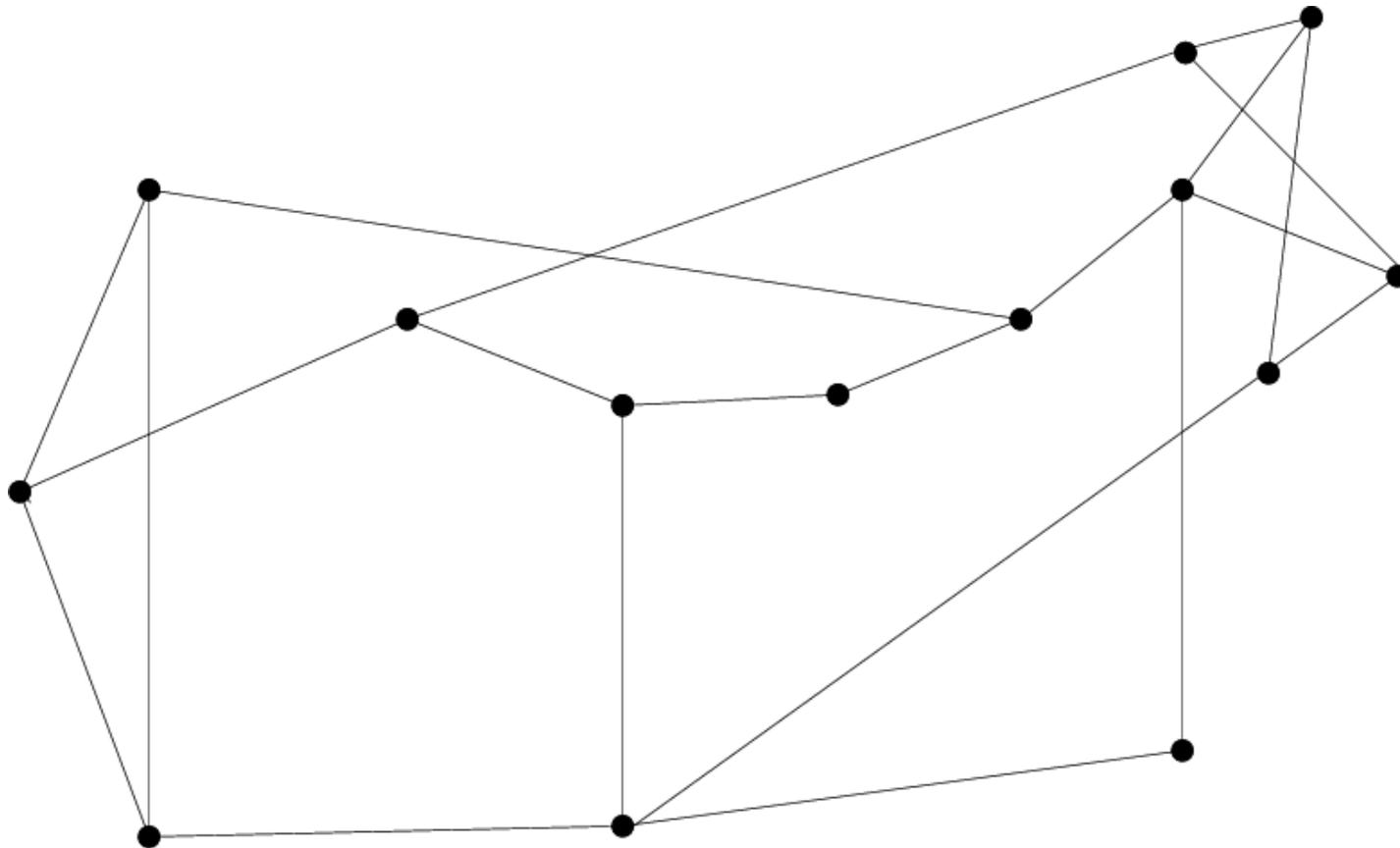
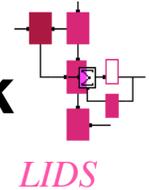
Simulation Results

Blocking Probability vs. Traffic Load





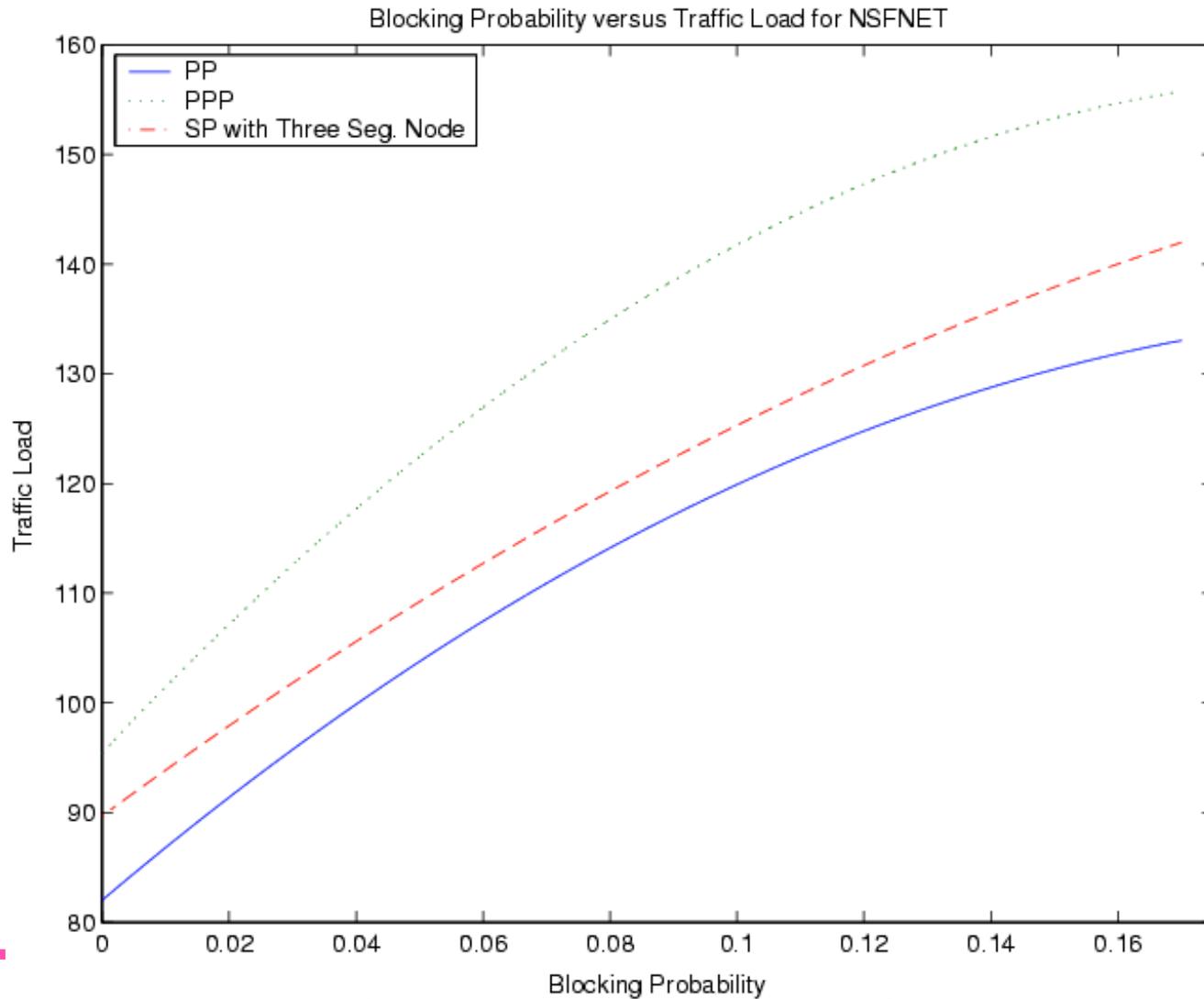
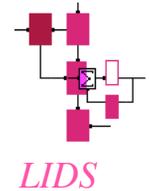
Simulation: The 14 node, 21 link NSFNET Network





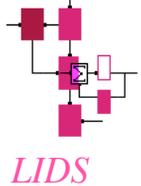
Simulation Results

Blocking Probability vs. Traffic Load





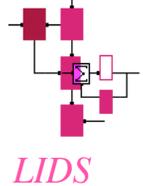
Discussion of results



- **In the dynamic call-by-call case a greedy solution that finds the optimal routes at any point in time fails to take into account future calls**
- **In order to account for future call arrivals, the problem can be modeled as a Markov Decision Problem (e.g., dynamic programming)**
 - **Solution can be very complex**
- **Intuitive explanation:**
 - **The greedy solution treats primary and backup resources with equal importance and attempts to minimize their overall use**
 - **However, primary path resources cannot be shared whereas backup can**
Better to minimize primary resources than backup resources
 - **The shortest path approach puts a greater priority on minimizing the primary path resources**



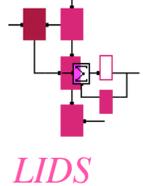
Discussion of path protection with failure localization (PPFL)



- **The PPFL scheme is more flexible than the path protection scheme**
 - Path protection and link protection can be viewed as “solutions” to the PPFL scheme
 - Hence PPFL results in better resource utilization
- **PPFL uses local failure information for finding protection paths**
 - This added information requires more sophisticated network management
- **The call-by-call model leads to dynamic resource allocation scheme that cannot be solved using a traditional ILP approach**
 - Markov Decision formulation - too complex
 - Simple heuristics - e.g., shortest path



Summary



- **Cross-Layer optimization is critical to the design of protection algorithms for WDM based networks**
 - ***Survivable routing of logical topologies:*** How do we embed the logical topology on a physical topology so that the logical topology can withstand physical link failures
 - ***Physical topology design:*** How do we design physical topology so that they can be used to embed rings in a survivable manner
 - ***Path protection with failure localization:*** What are the benefits of failure localization for efficient path protection?